



# Richmond

Corporate Limited

## Investment Funds

Richmond Corporate Limited ("RCL") is a Category 1 member and listing sponsor of the Channel Islands Stock Exchange, LBG ("CISX").

### Types of Investment Funds

Open-ended and closed-ended funds can be listed. These may be limited companies, limited partnerships, protected cell companies, incorporated cell companies and unit trusts. Funds from Guernsey and other acceptable jurisdictions are able to list and listings can be either Primary or secondary.

### Why list?

There are many advantages of listing for both Issuers and Investors. Enhanced Marketability; the ability to raise capital and trade securities; increased Issuer Profile and transparency being just a few.

### Why List on the CISX?

The CISX has listed in excess of 3,000 securities since its inception. There are many key reasons why the CISX is the Offshore Stock Exchange of choice:

- It operates a personalised and flexible listing policy, coupled with a responsive and approachable Market Authority. This results in fast turn-around times that are essential when dealing in today's fast-paced financial markets.
- Based in Guernsey – a Premier location that is in the European Time Zone and is OECD (Organisation for Economic Development and Co-operation) compliant.
- Choice of International Accounting Standards, United Kingdom Generally Accepted Accounting Principles or United States Generally Accepted Accounting Principles for the production of financial statements.

- Existing documentation, such as the prospectus, offering memorandum, scheme particulars, pricing supplements etc., may be utilised, usually with the addition of a suitable wraparound document, to form the listing document. This can have significant cost-saving potential.
- Internationally recognised - The CISX is classed as a Designated Exchange by the United Kingdom Financial Services Authority and is a Recognised Exchange by Her Majesty's Revenue & Customs. The CISX is also a Recognised Offshore Exchange by the United States Securities and Exchange Commission and recognised by the Australian Stock Exchange
- Affiliate member of the International Organisation of Securities Commissions ("IOSCO")
- Fully licensed and regulated by the Guernsey Financial Services Commission
- A simple and highly competitive pricing structure

### Why Choose RCL?

RCL offers a bespoke listing service handled by an experienced team – we reflect the CISX's flexible and pragmatic approach at a competitive tariff.

For further information on listings or other services provided by Richmond Corporate Limited, please contact:

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Regulated by the Guernsey Financial Services Commission and Licensed as a Fiduciary Services Company under the provisions of the Fiduciary Law.